

SEC Thailand's Public Hearing on revision to the rules on providing investors to access mutual fund service more conveniently.

The SEC is seeking public comments on the proposed revision to the rules concerning mutual fund intermediary's license and business models that are obstacle and inappropriate to the intermediaries.

The revision shall include

- (1) permit the company established by and subjected to Thai law to file the application on mutual fund intermediary's license for providing the service as the mutual fund intermediary under the management of unlimited asset management companies.
- (2) exempt the word "securities company" at the beginning of the name of the intermediaries that wish to file the application on operating securities business when the company meets the criteria.
- (3) permit the intermediaries to provide other services other than investing and making transaction on capital market products for investors at the same service point through online branch office.
- (4) specify the qualifications and the scope of providing services for the intermediaries who provide service only through online branch office.

For further details of the public hearing, please visit [www.sec.or.th/hearing](http://www.sec.or.th/hearing) or contact The Intermediaries Policy Department at 0-2033-9935, 0-2263-6286 or email: [chanisarab@sec.or.th](mailto:chanisarab@sec.or.th), [janthaporn@sec.or.th](mailto:janthaporn@sec.or.th)

For electronic comments, please send an email to [chanisarab@sec.or.th](mailto:chanisarab@sec.or.th), [janthaporn@sec.or.th](mailto:janthaporn@sec.or.th). For paper comments, please send them to: The Intermediaries Policy Department, the Securities and Exchange Commission, Thailand

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The public hearing ends on 18 October 2019.