

SEC Thailand's Public Hearing of Draft Notification on Purchasing or Selling Listed Securities Outside the Securities Exchange

The SEC is currently conducting a public hearing of draft notification on purchasing or selling listed securities outside the securities exchange in order to have effective regulations and appropriate investor protection.

The SEC had a consideration in revising regulation on purchasing or selling listed securities outside the securities exchange to be aligned with the revision of Securities and Exchange Act B.E. 2535. The public hearing was conducted on the amendments which allow members and non-members of Securities Exchange to purchase or sell listed securities outside the securities exchange when the transaction is made with the person whom holds the Electronic Trading Platform License (ETP License) or made by the person whom receives approval to operate in an ETP Regulatory Sandbox.

For more details of public hearing, please visit www.sec.or.th/hearing. The consultation period will be ended on January, 17 2020.