

SEC Thailand's public hearing on Draft Regulations Relating to Capital Requirement

The SEC is seeking public comments on the proposed draft regulations relating to Net Capital Requirement for securities and derivatives business operators. The revision is intended to support the use of subordinated facility as a tool for immediate increasing of transaction and the calculation of NC for digital asset business.

The SEC had a consideration on amendments of the rules relating to Net Capital Requirement (Net Capital – NC) for securities and derivatives business operators, therefore, published the public hearing in 2019 which covered the proposals on the calculation of subordinated facility to support the immediate increasing of transaction, as well as the calculation of digital asset business risk and the business suspension for the business operator who has NC below minimum requirements.

Now the SEC is drafting regulations by taking into account of concerns and suggestions raised by the private sector, in order to make the rules practical to the business operators.

For further details of the public hearing, please visit www.sec.or.th/hearing or contact The Intermediaries Policy Department at 0-2033-4662. For electronic comments, please send an email to sawarin@sec.or.th. For paper comments, please send them to: The Intermediaries Policy Department, the Securities and Exchange Commission, Thailand

333/3 Vibhavadi-Rangsit Road, Chomphon, Chatuchak Bangkok 10900, Thailand.

The public hearing ends on 17 June 2020.