

SEC Thailand’s public hearing on proposed amendments and drafted regulation on unitholder data collection of mutual funds to sustain asset management companies in capital market.

The SEC is seeking public comments on the amendments of regulations and drafted regulations on unitholder data collection of mutual funds. This aims to improve regulation performances and standards in terms of investors’ protection and to enhance effectiveness of investment management policy with more accurate analysis from unitholder data utilization.

In terms of regulator, SEC has responsibility to regulate and improve capital market to be more effective and reliable in order to maximize investor benefits and sustain fund industry. Hence, data utilization is the significant factor for investment management policy development according with global financial development.

The SEC has thus offered unitholder data collection guidelines for asset management companies in order to submit mutual fund unitholder report to SEC, which will provide a wider range of advantages including financial products and services process analysis to match customers’ interest, concentrated risk monitoring in order to investigate mutual fund risk sentiment and prevent it, and an increase in the fund management policy efficiency.

The consultation paper is available at www.sec.or.th. Stakeholders and interested parties are welcome to submit comments and suggestions via the website or email impd@sec.or.th or the Investment Management Policy

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The public hearing ends on 26 May 2021.